

FORM OF COMPLAINT/STATEMENT OF REASONS Before the Ethical Standards Board (ESB)

Note-to be submitted with a filling Fee of Rs. 2,360/- (including 18% GST on Rs. 2,000/-) (Rupees Two thousand three hundred sixty only) drawn in favor of the Secretary ICAI, New Delhi together with the list of enclosures mentioned below:-

1. Name of the Complainant:
Complainant's Membership No. -
Address -
Phone No. -
Fax -
E-mail address -
Website -
2. Name of the Incoming Auditor
Membership No. -
Address -
Phone No. -
Fax -
E-mail address -
Website -
3. Name & address of the Entity
Address -
Phone No. -
Fax -
E-mail address -
Website -
4. Particulars of complaint/Statement -
of Reasons leading to removal/ non-reappointment.
(Please attach separate sheet for details)
5. Particulars of evidence, oral and documentary, -
if any, to substantiate the complaint.
(Please see the list at Annexure for suggested documents to be attached)
6. Relief sought from the Committee -
including the interim order.
7. Reasons/justifications for Interim Order. -
8. Any legal prosecutions initiated -
and their status including all the relevant documents.

Signature
[Partner/Proprietor/Member]

M.No.

VERIFICATION

WWW.TAXSCAN.IN - Simplifying Tax Laws

I, the Complainant, do hereby declare that what is stated above is true to the best of my information and belief.

Verified today, the day of 20 at

Signature
[Partner/Proprietor/Member]

M.No.

Enclosures to be submitted along with the Complaint/ Statement of Reasons:

Certified true copies of the following documents:

- (i) Engagement Letter of the Complainant and Resolution for engagement of the Complainant;
- (ii) Documents/evidence indicating removal/non-reappointment of the Complainant;
- (iii) Relevant correspondence exchanged between the Complainant and Incoming Auditor;
- (iv) Relevant correspondence exchanged between the Complainant and entity;
- (v) Copy of Certificate/Audit Report and other Certificates/Draft Audit Report/Qualifications/Final accounts which lead to his removal, if any;
- (vi) Extract of the Relevant Rules/Regulations for engagement of Auditor;
- (vii) Any other relevant papers/documents on the issues involved
- (viii) To be filed with a D.D/NEFT of Fee of Rs. 2,360/- (including 18% GST on Rs. 2,000/-) (Rupees Two thousand three hundred sixty only) in favour of the Secretary, ICAI

The complaint may be filed vide email at esb@icai.in, wherein scanned copy of NEFT payment proof be also enclosed. In case the complaint is made vide hard copy, triplicate copies of complaint and enclosures be sent., along with the Demand Draft.